LEGAL

Legal

The following information relating to legal actions of any Applicant Party:

Applicant Party, TSG Coney Island Entertainment Holdco LLC ("TSG"), is a Delaware limited liability company authorized to do business in New York. TSG has three member parties, each an Applicant Party – Global Gaming Solutions, LLC ("GGS"); Saratoga Casino Development LLC ("Saratoga CD"); and Thor Coney Island Entertainment LLC ("Thor CI"), an Applicant Party.

Saratoga CD is an Applicant Party. The members of Saratoga CD are Saratoga Casino Holdings LLC , Samuel T. Gerrity , and James D. Featherstonhaugh . Saratoga Casino Holdings LLC is a holding company which is owned by Saratoga Harness Racing, Inc., an Applicant Party PBO § 87(2)(d)

The Coney will be operated by Global Gaming NY LLC ("GGNY"), an Applicant Party. GGNY has not operation as of this date.

A statement as to whether there are any pending legal actions, whether civil, criminal or administrative in nature, to which the Applicant Party is a party and a brief description of any such actions.

GGNY is not a party to any pending legal actions, whether civil, criminal or administrative in nature

A brief description of any settled or closed legal actions, whether civil, criminal or administrative in nature, against the Applicant Party over the past 10 years.

GGNY has not settled or closed legal actions, whether civil, criminal or administrative in nature, against TSG over the past 10 years.

A description of any judgments against the Applicant Party within the past 10 years, including the case name, number, court, and what the inal ruling or determination was from the court, administrative body or other tribunal.

There have been no judgments against GGNY within the past 10 years.

In instances where litigation is ongoing and the Applicant Party has been directed not to disclose information by the court, provide the name of the judge, location of the court, and case name and number.

This request is not applicable to GGNY.

A statement whether the Applicant Party was indicted, accused or convicted of a crime or was a subject of a grand jury or criminal investigation during the past 10 years.

GGNY has not been indicted, accused or convicted of a crime or was a subject of a grand jury or criminal investigation during the past 10 years.

A statement whether the Applicant Party was the subject of any order, judgment or decree of any court, administrative body or other tribunal of competent jurisdiction permanently or temporarily enjoining it from or otherwise limiting its participation in any type of business, practice or activity during the past 10 years.

GGNY has not been the subject of any order, judgment or decree of any court, administrative body or other tribunal of competent jurisdiction permanently or temporarily enjoining it from or otherwise limiting its participation in any type of business, practice or activity during the past 10 years.